

Meeting Name: Compliance and Ethics Committee Meeting

Meeting Start Time: Immediately following the Legal Affairs and Governmental Relations

Meeting Start Date: 12/11/2019

Meeting Location: 1700 Northwest 49 Street, Suite 150, Ft. Lauderdale, FL 33309

Agenda:

1. Notice of Meeting
2. Call to Order (Presenter: Nancy W. Gregoire, Chair)
3. Roll Call (Presenter: Patricia Alfaro, Liaison to the Board of Commissioners)
Nancy W. Gregoire/Chair
Christopher T. Ure/Vice
Ray T. Berry
Stacy L. Angier
Marie C. Waugh
4. Public Comments
5. Approval of minutes dated November 12, 2019
6. Topic of Discussion
 - 6.1.Chief Compliance Officer Report (Presenter: Brian Kozik, Chief Compliance Officer)
 - 6.1.1. Compliance Department Updates
 - 6.1.2. OIG/CIA Update
 - 6.1.3. Compliance Work Plan: Fiscal Year 2020
 - 6.2.IRO Plan of Correction (Presenter: Linda Epstein, Corporate General Counsel)
7. Adjournment