

**COMPLIANCE COMMITTEE  
Immediately Following  
Legal Affairs and Governmental Relations Committee Meeting  
February 20, 2019**

**CALL TO ORDER**

**COMMITTEE MEMBERS**

- \_\_\_\_\_ Commissioner Nancy W. Gregoire, Chair
- \_\_\_\_\_ Commissioner Andrew M. Klein
- \_\_\_\_\_ Commissioner Christopher T. Ure
- \_\_\_\_\_ Commissioner Ray T. Berry
- \_\_\_\_\_ Commissioner Stacy L. Angier
- \_\_\_\_\_ Commissioner Marie C. Waugh

**PUBLIC COMMENTS**

**APPROVAL OF MINUTES**

1. Approval of the Compliance Committee meeting minutes, dated January 20, 2019

**TOPIC OF DISCUSSION**

2. Contemplating Individual Review Organization (IRO) Selection
3. Interim Chief Compliance Officer Report
  - a. Status of the Corporate Integrity Agreement
  - b. Report from the Executive Compliance Group
    - IRO Plan of Correction
    - Code of Conduct/Policies and Procedures

# AGENDA

- **Action Item: Approval of Revised Code of Conduct**
  - Training
  - Sanction Screening
  - Disclosures
  - Risk Assessment
  - Auditing and Monitoring
- c. Conflicts of Interests Processing
- d. HIPAA Program
- 4. Regulatory Environment Report
- 5. Compliance Budget Increase

## **ADJOURNMENT**