

# MINUTES

## NORTH BROWARD HOSPITAL DISTRICT

North Broward Hospital District Board Of Commissioners  
1700 Northwest 49<sup>th</sup> Street, Suite #150, Ft. Lauderdale, 33309

### COMPLIANCE & ETHICS COMMITTEE February 20, 2019

**CALL TO ORDER** 3:38 pm

#### **COMMITTEE MEMBERS**

- ✓ Commissioner Nancy W. Gregoire, Chair
- ✓ Commissioner Andrew M. Klein
- ✓ Commissioner Christopher T. Ure
- ✓ Commissioner Ray T. Berry
- ✓ Commissioner Stacy L. Angier
- ✓ Commissioner Marie C. Waugh

**ADDITIONALLY PRESENT** Gino Santorio/President/CEO, Alan Goldsmith/CAO, Alex Fernandez/ CFO, Jerry Del Amo/Managing Senior Associate General Counsel, Marian Wossum/Interim General Counsel Designee, Nigel Crooks/Chief Internal Auditor, Steve Forman/ Interim Chief Compliance Officer

**PUBLIC COMMENTS** None

#### **APPROVAL OF MINUTES**

1. Approval of the Compliance and Ethics Committee meeting minutes, dated January 22, 2019

**MOTION** It was *moved* by Commissioner Angier, *seconded* by Commissioner Berry, to:

**APPROVE THE COMPLIANCE AND ETHICS COMMITTEE MEETING MINUTES DATED JANUARY 22, 2019.**

Motion *carried* unanimously.

#### **TOPIC OF DISCUSSION**

2. Contemplating Individual Review Organization (IRO) Selection  
Mr. Santorio provided an update on the status of the IRO Selection process.
3. Interim Chief Compliance Officer Report- reported by Steve Forman
  - a. Status of the Corporate Integrity Agreement reported by Steve Forman

Compliance 1

Video footage of this meeting can be found at:

<https://www.browardhealth.org/pages/board-archive/2019-videos/February-20-Compliance-Meeting>

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- b. Report from the Executive Compliance Group reported by Steve Forman
  - IRO Plan of Correction – Chair Beth Cherry, SVP Physician Practices, gave her report

Note: At this point of the meeting the remaining agenda items were heard out of order.

- (Training Subgroup Report was taken out of sequence)  
Chair Melanie Hatcher, VP of Human Resource, gave her report
- (Disclosures Subgroup Report was taken out of sequence)  
Chair Dr. Ana Calderon, head of the Children’s Diagnostic and Treatment Center, gave her report
- (Code of Conduct/Policies & Procedures Subgroup Report was taken out of sequence)  
Chair Denise Moore, VP of Corporate Communications and Marketing, gave her report

**MOTION** It was *moved* by Commissioner Angier, *seconded* by Commissioner Waugh, that:

**THE COMPLIANCE AND ETHICS COMMITTEE ACCEPT THE CODE OF CONDUCT, AS PRESENTED.**

Motion *carried* 5 to 1

- (Sanction Screening Subgroup Report was taken out of sequence)  
Chair Mr. Lee Ghezzi, VP of Quality and Case Management, gave his report
  - Risk Assessment Subgroup deferred to next month’s meetings
  - Auditing & Monitoring Subgroup deferred to next month’s meetings
  - Conflicts of Interests Processing *No update provided*
- c. HIPAA Program *No update provided*

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4. Regulatory Environment Report *No update provided*
5. Compliance Budget Increase

Mr. Santorio spoke to the Compliance Budget Increase exhibit.

**MOTION** It was *moved* by Commissioner Ure, *seconded* by Commissioner Berry, that:

**THE COMPLIANCE AND ETHICS COMMITTEE RECOMMEND THAT THE BOARD OF COMMISSIONERS OF THE NORTH BROWARD HOSPITAL DISTRICT AUTHORIZE THE PRESIDENT/CEO TO APPROVE ADDITIONAL FUNDING IN THE AMOUNT OF \$2,386,423.96 FOR FEES AND EXPENSES INCURRED IN CONNECTION WITH THE PROVISION OF PROFESSIONAL SERVICES BY OUTSIDE CONSULTING FIRMS.**

Motion *carried* unanimously.

Note: Although Commissioner Klein was not in attendance at the time of the vote, a quorum remained intact.

**MEETING ADJOURNED** 5:08 pm

**MOTION** It was *moved* by Commissioner Ure, *seconded* by Commissioner Berry, to:

**ADJOURN THE COMPLIANCE AND ETHICS COMMITTEE MEETING.**

Motion *carried* unanimously.

Respectfully submitted,  
Commissioner Ray T. Berry  
Secretary / Treasurer